### **FINAL ORDER**

**Effective: 09-11-09** 

## BEFORE THE COMMISSIONER OF INSURANCE OF THE STATE OF KANSAS

In the Matter of the Kansas	)	
Nonresident Insurance Agent's License of	)	Docket No. 4030-SO
RAYMOND G. PLANTE	)	
NPN: # 4872394	)	

#### **SUMMARY ORDER**

(Pursuant to K.S.A. 2007 Supp. 40-4909 and K.S.A. 77-501)

Pursuant to the authority granted to the Commissioner of Insurance ("Commissioner") by K.S.A. 40-4909, the Commissioner hereby revokes the nonresident agent's license of Respondent, **RAYMOND G. PLANTE** ("Respondent"). This Summary Order shall become effective as a Final Order, without further notice, upon the expiration of the fifteen (15) day period if no request for hearing is made, pursuant to K.S.A. 77-542.

#### **Findings of Fact**

The Commissioner finds the following facts:

- 1. Records maintained by the KID indicate that the Respondent is licensed as a nonresident agent to transact the business of insurance in Kansas and has been so licensed since August 9, 2001.
- 2. KID records further indicate a legal address of 2611 Matt, Harrisonville, MO 64701.
- 3. The National Association of Insurance Commissioners ("NAIC")
  Regulatory Information Retrieval System ("RIRS") database reflects the following

actions: Respondent was disciplined by Missouri for "Failure to Maintain Books & Records". A monetary penalty was assessed, effective March 23, 2009.

4. Respondent has failed to report the discipline on his license to KID.

#### **Applicable Law**

5. K.S.A. 40-4909(a) provides, in relevant part:

"The commissioner may deny, suspend, revoke or refuse renewal of any license issued under this act if the commissioner finds that the applicant or license holder has:

- (2) Violated:
  - (A) Any provision of chapter 40 of the Kansas Statutes Annotated, and amendments thereto, or any rule and regulation promulgated hereunder
- (8) Used any fraudulent, coercive, or dishonest practice, or demonstrated any incompetence, untrustworthiness, or financial irresponsibility in the conduct of business in this state or elsewhere. ..."
- 6. The Commissioner may revoke any license issued under the Insurance Agents Licensing Act if the Commissioner finds that the interests of the insurer or the insurable interests of the public are not properly served under such license. K.S.A. 40-4909(b).
- 7. A producer must inform the Commissioner of Insurance within 30 days of any discipline against a producer's license. K.A.R. 40-7-9(a).

#### **Conclusions of Law**

8. The Commissioner has jurisdiction over Respondent as well as the subject matter of this proceeding, and such proceeding is held in the public interest.

9. The Commissioner finds, based on the facts contained in paragraphs 3

and 4, that Respondent has demonstrated incompetence, untrustworthiness, or financial

irresponsibility in the conduct of business.

10. Based on the Respondent's discipline and failure to report the discipline,

the Commissioner concludes that sufficient grounds exist for the revocation of

Respondent's insurance agent's license pursuant to K.S.A. 40-4909(b) because such

license is not properly serving the interests of the insurer and the insurable interests of

the public.

11. Based on the facts and circumstances set forth herein, it appears that the

use of summary proceedings in this matter is appropriate, in accordance with the

provisions set forth in K.S.A. 77-537(a), in that the use of summary proceedings does

not violate any provision of the law and the protection of the public interest does not

require the KID to give notice and opportunity to participate to persons other than

Raymond G. Plante.

IT IS THEREFORE ORDERED BY THE COMMISSIONER OF INSURANCE THAT the

Kansas non-resident insurance agent's license of Raymond G. Plante is hereby

**REVOKED.** It is further ordered, that Raymond G. Plante shall **CEASE and DESIST** 

from the sale, solicitation, or negotiation of insurance and/or receiving compensation

deriving from the sale, solicitation, or negotiation of insurance conducted after the

effective date of this order.

NOTICE AND OPPORTUNITY FOR HEARING

RAYMOND G. PLANTE, within fifteen (15) days of service of this Summary

Order, may file with the Kansas Insurance Department a written request for hearing on

3

In the Matter of the Kansas Nonresident Agent's Insurance License of this Summary Order, as provided by K.S.A. 77-542. In the event a hearing is requested,

such request should be directed to:

John W. Campbell, General Counsel

Kansas Insurance Department

420 S.W. 9th Street

Topeka, Kansas 66612

Any costs incurred as a result of conducting any administrative hearing shall be

assessed against the agent/agency who is the subject of the hearing as provided by

K.S.A. 40-4909(f). Costs shall include witness fees, mileage allowances, any costs

associated with reproduction of documents which become part of the hearing record,

and the expense of making a record of the hearing.

If a hearing is not requested, this Summary Order shall become effective as a

Final Order, without further notice, upon the expiration of the fifteen (15) day period for

requesting a hearing. The Final Order will constitute final agency action in the matter.

In the event the Respondent files a petition for judicial review, the agency officer

designated pursuant to K.S.A. 77-613(e) to receive service of a petition for judicial

review on behalf of the Kansas Insurance Department is:

John W. Campbell, General Counsel

Kansas Insurance Department

420 S.W. 9<sup>th</sup> St.

Topeka, Kansas 66612

In the Matter of the Kansas Nonresident Agent's Insurance License of RAYMOND G. PLANTE 4

# IT IS SO ORDERED THIS \_24th\_ DAY OF AUGUST, 2009, IN THE CITY OF TOPEKA, COUNTY OF SHAWNEE, STATE OF KANSAS.

DEPARTMENT CREATED BY  ACT APPROVED MARCH 1, 1871  OF KANSAS
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_/s/ Sandy PraegerSandy Praeger Commissioner of Insurance
BY:
_/s/ John W. Campbell John W. Campbell General Counsel

#### **CERTIFICATE OF SERVICE**

The undersigned hereby certifies that she served the above and foregoing Summary Order on this \_24th\_\_ day of \_\_\_\_Aug.\_\_\_\_\_, 2009, by causing the same to be deposited in the United States Mail, first class postage prepaid, addressed to the following:

Raymond G. Plante 2611 Matt Harrisonville, MO 64701

> \_/s/ John R. Dowell\_\_\_\_\_ John R. Dowell Staff Attorney