

**BEFORE THE COMMISSIONER OF INSURANCE
OF THE STATE OF KANSAS**

In the Matter of the Kansas)
Insurance Agent's License of) Docket No. 4954-SO
KEITH L. SHAFFER)
NPN: # 2939003)

TEMPORARY ORDER OF LICENSE SUSPENSION
(Pursuant to K.S.A. 2016 Supp. 40-4909, K.S.A. 77-501)

Pursuant to the authority granted to the Commissioner of Insurance ("Commissioner") by K.S.A. 2016 Supp. 40-4909, the Commissioner hereby temporarily suspends the agent's license of Respondent, **KEITH L. SHAFFER** ("Respondent"). This Temporary Order shall become effective immediately, as agreed upon by both Respondent and the Kansas Insurance Department ("KID"). This Order shall be in effect until the resolution of the Summary Order proposing to revoke Respondent's license, which has yet to be resolved through hearing in Docket No. 4954-SO.

Findings of Fact

The Commissioner finds the following facts:

1. Respondent is licensed as a resident agent to transact the business of insurance in the State of Kansas, and has been so licensed according to KID records since May 6, 1982. Respondent has actually been licensed since 1977.
2. On November 16, 2016, Respondent was convicted by a jury in the United States District Court (KS) of misapplication of bank funds, wire fraud, misappropriation of insurance funds and three counts of making a false statement on a federal tax return. Case No. 16-10012-01-JTM
3. Based on this information, KID issued a summary order proposing to revoke Respondent's license on December 20, 2016.
4. Respondent timely requested a hearing.
5. Respondent has requested the hearing to be delayed until resolution of his case in federal court, which is currently in the sentencing phase.

Applicable Law

- K.S.A. 40-4909 states, in pertinent part:

- (a) The commissioner may deny, suspend, revoke, or refuse renewal of any license issued under this act if the commissioner finds that the applicant or license holder has:

(6) Been convicted of a misdemeanor or felony.

Policy Reasons

1. It is in the public interest that the license of an agent who has been convicted of felony financial crimes be temporarily suspended until final resolution of the action proposing to revoke his license.

Conclusions of Law

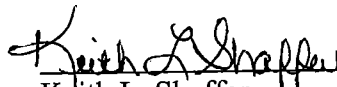
1. The Commissioner has jurisdiction over **KEITH L. SHAFFER** as well as the subject matter of this proceeding, and such proceeding is held in the public interest.
2. The Commissioner finds that Respondent's Kansas license may be temporarily suspended because **KEITH L. SHAFFER** was convicted of felony crimes.
3. Accordingly, the Commissioner concludes that sufficient grounds exist for the temporary suspension of the insurance agent's license of **KEITH L. SHAFFER**, pursuant to K.S.A. 2016 Supp. 40-4909(a).

IT IS THEREFORE ORDERED BY THE COMMISSIONER OF INSURANCE THAT:

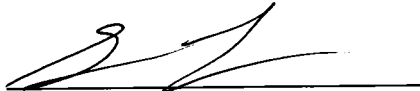
1. The Kansas resident Insurance Agent's License of **KEITH L. SHAFFER** is hereby **TEMPORARILY SUSPENDED** the effective date of this Order, until resolution of the Summary Order for Docket No. 4954.
2. **IT IS FURTHER ORDERED** that **KEITH L. SHAFFER** shall **CEASE** and **DESIST** from the sale, solicitation or negotiation of insurance and/or receiving compensation deriving from the sale, solicitation or negotiation of insurance conducted after the effective date of this Order.

STIPULATION

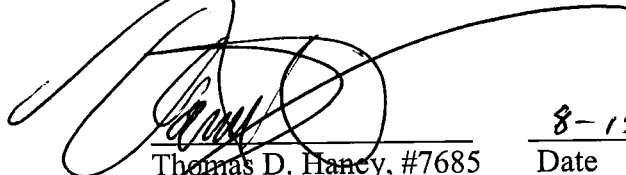
The undersigned stipulates and agrees to the above findings, facts, and conclusions of law and waive his rights to an administrative hearing and judicial review of the Commissioner's Temporary Order of Suspension.


Keith L. Shaffer 08/31/17
Respondent Date

Prepared by:


Steven M. Lehwald, #26069
Staff Attorney, KID

Approved by:


Thomas D. Hancy, #7685
Attorney for Respondent

8-15-2017
Date

IT IS SO ORDERED THIS 11th DAY OF September, 2017, IN THE CITY OF TOPEKA, COUNTY OF SHAWNEE, STATE OF KANSAS.



Ken Selzer, CPA
Commissioner of Insurance

BY:


Clark Shultz
Assistant Commissioner

NOTICE

In the event the Respondent files a petition for judicial review, the agency officer designated pursuant to K.S.A. 77-613(e) to receive service of a petition for judicial review on behalf of the Kansas Insurance Department is:

Diane Minear, General Counsel
Kansas Insurance Department
420 S.W. 9th St.
Topeka, Kansas 66612

CERTIFICATE OF SERVICE

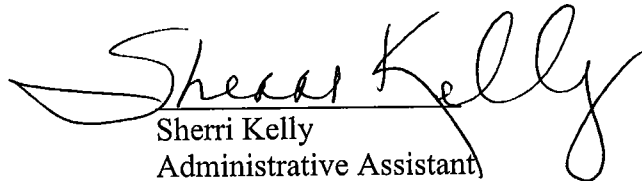
The undersigned hereby certifies that he served the above and foregoing Temporary Order of Suspension on this 11th day of September 2017, by causing the same to be deposited in the United States Mail, first class postage prepaid, addressed to the following:

KEITH L. SHAFFER
PO Box 282
Altamont, Kansas 67330-0282

THOMAS D. HANEY
Stevens & Brand, LLP
917 SW Topeka Blvd
Topeka, KS 66612

And hand-delivered to the following:

STEVEN M. LEHWALD
Kansas Insurance Department
420 SW 9th St.
Topeka, KS 66612


Sherri Kelly
Administrative Assistant