# BEFORE THE COMMISSIONER OF INSURANCE OF THE STATE OF KANSAS

In the Matter of the Kansas Nonresident	)	
Insurance Producer's License of	)	
JULIE MARIE MONG	)	Docket No. 91227
NPN # 14839042	)	

## **SUMMARY ORDER OF REVOCATION**

(Pursuant to K.S.A. 40-4909 and K.S.A. 77-501 et seq.)

Pursuant to the authority granted to the Commissioner of Insurance ("Commissioner") by K.S.A. 40-4909 and K.S.A. 77-501 *et seq.*, the Commissioner hereby **REVOKES** the Kansas nonresident insurance producer's license of **JULIE MARIE MONG** ("Respondent"). This Summary Order of Revocation shall become effective as a Final Order, without further notice, upon the expiration of the fifteen (15) day period if no request for a hearing is made.

# **Findings of Fact**

- 1. Respondent was licensed as a Kansas nonresident insurance producer on August 25, 2021 and remains licensed to date.
- 2. Respondent's residence, business and mailing address of record is , Ohio ...
- 3. On August 25, 2021 and October 25, 2021, Respondent submitted Kansas nonresident insurance producer license applications to the Kansas Insurance Department ("Department"). The initial application was submitted by an authorized submitted and the subsequent application was submitted by the applicant.
- 4. On the initial application, Respondent answered "No" to Question 1A of the Background Questions which asks, "Have you ever been convicted of a misdemeanor, had a judgment withheld or deferred, or are you currently charged with committing a misdemeanor?"

On the subsequent application, Respondent answered "Yes" to Question 1A of the Background Questions and we received documentation regarding said conviction.

- 5. Respondent answered "Yes" to the Attestations on the Application. Attestation 1 states, "I hereby certify that, under penalty of perjury, all of the information submitted in this application and attachments is true and complete. I am aware that submitting false information or omitting pertinent or material information in connection with this application is grounds for license revocation or denial of the license and may subject me to civil or criminal penalties."
- 6. The Application (initial) was submitted by an Authorized Submitter. The Authorized Submitted was Licensing Admin with Qualfon Insurance Company.
- 7. Respondent's nonresident insurance producer's license was issued on August 25, 2021 based on the information contained in the initial license application, including Respondent's answers to the Background Questions. The license was issued prior to the submission of the second application from the Applicant. The second application has been under review since its submission.

### **Applicable Law**

#### K.S.A. 40-4909(a)(1) provides:

The Commissioner may deny, suspend, revoke or refuse renewal of an insurance producer's license upon finding that Respondent has provided incorrect, misleading, incomplete or untrue information in the license application.

## K.S.A. 40-4909(a)(6) provides:

The Commissioner may deny, suspend, revoke or refuse renewal of an insurance producer's license upon finding that Respondent has been convicted of a misdemeanor or felony.

#### K.S.A. 40-4909(b) provides:

The Commissioner may deny, suspend, revoke or refuse renewal of any license issued under this act if the Commissioner finds that the interests of the insurer or the insurable interests of the public are not properly served under such license.

# **Policy Reasons**

- 1. It is in the public interest that the license of a producer who provided incorrect, misleading, incomplete and untrue information on their license application be revoked.
- 2. It is in the public interest that the license of a producer who has been convicted of a misdemeanor be revoked.

# **Conclusions of Law**

- 1. The Commissioner has jurisdiction over **JULIE MARIE MONG** as well as the subject matter of this proceeding, and such proceeding is held in the public interest.
- 2. Pursuant to K.S.A. 40-4909(a)(1), the Commissioner finds that Respondent's Kansas nonresident insurance producer's license may be revoked because **JULIE MARIE MONG** has provided incorrect, misleading, incomplete or untrue information in the license application.
- 3. Pursuant to K.S.A. 40-4909(b), the Commissioner finds that the insurable interests of the public are not properly served under the Kansas nonresident insurance producer's license of **JULIE MARIE MONG**.
- 4. Pursuant to K.S.A. 40-4909(a) and (b), the Commissioner concludes that sufficient grounds exist for revocation of the Kansas nonresident insurance producer's license of **JULIE**MARIE MONG.

IT IS THEREFORE ORDERED BY THE COMMISSIONER OF INSURANCE THAT:

- 1. The Kansas nonresident insurance producer's license of **JULIE MARIE MONG** is hereby **REVOKED** the effective date of this Order.
- 2. IT IS FURTHER ORDERED that JULIE MARIE MONG shall CEASE and DESIST from the sale, solicitation or negotiation of insurance in Kansas and/or receiving compensation deriving from the sale, solicitation or negotiation of insurance in Kansas conducted after the effective date of this Order.

IT IS SO ORDERED THIS <u>27</u> DAY OF NOVEMBER 2021, IN THE CITY OF TOPEKA, COUNTY OF SHAWNEE, STATE OF KANSAS.



VICKI SCHMIDT COMMISSIONER OF INSURANCE

Kimberley Davenport Megrail

Senior Attorney

## NOTICE AND OPPORTUNITY FOR HEARING

**JULIE MARIE MONG**, within fifteen (15) days of service of this Summary Order, you may file with the Kansas Insurance Department a written request for hearing on this Summary Order, as provided by K.S.A. 77-542. In the event a hearing is requested, such request should be directed to:

Jill Spurling Kansas Insurance Department 1300 SW Arrowhead Rd. Topeka, Kansas 66604

Any costs incurred as a result of conducting any administrative hearing shall be assessed against the producer/agency who is the subject of the hearing as provided by K.S.A. 40-4909(f). Costs shall include witness fees, mileage allowances, any costs associated with reproduction of documents which become part of the hearing record, and the expense of making a record of the hearing.

If a hearing is not requested, this Summary Order shall become effective as a Final Order, without further notice, upon the expiration of the fifteen (15) day period for requesting a hearing. The Final Order will constitute final agency action on the matter.

In the event the Respondent files a petition for judicial review, the agency officer designated pursuant to K.S.A. 77-613(e) to receive service of a petition for judicial review on behalf of the Kansas Insurance Department is:

Justin L. McFarland, General Counsel Kansas Insurance Department 1300 SW Arrowhead Rd. Topeka, Kansas 66604

Pursuant to K.S.A. 77-607 and 77-612, exhaustion of administrative remedies is a jurisdictional prerequisite to seeking judicial review.

# **CERTIFICATE OF SERVICE**

The undersigned hereby certifies that she served the above-and-foregoing Summary Order of Revocation on this <u>39</u> day of November 2021, by causing the same to be deposited in the United States Mail, certified, addressed to the following:

Julie Marie Mong

Cuyahoga Falls, OH

Residence, Business and Mailing Address

And via email to:

Sarah Cowan Legal Assistant