BEFORE THE COMMISSIONER OF INSURANCE OF THE STATE OF KANSAS

In the Matter of the Kansas Resident)	
Insurance Producer's License of)	
Vincent Alonzo)	Docket No. 98858
NPN # 20026865	ĺ	

SUMMARY ORDER OF REVOCATION

(Pursuant to K.S.A. 40-4905, K.S.A. 40-4906, K.S.A. 40-4909, and K.S.A. 77-501 et seq.)

Pursuant to the authority granted to the Commissioner of Insurance ("Commissioner") by K.S.A. 40-4905, K.S.A. 40-4906, K.S.A. 40-4909, and K.S.A. 77-501 *et seq.*, the Commissioner hereby **REVOKES** the Kansas resident insurance producer's license of **VINCENT ALONZO** ("Respondent"). This Summary Order of Revocation shall become effective as a Final Order, without further notice, upon the expiration of the fifteen (15) day period if no request for a hearing is made.

Findings of Fact

- 1. Respondent was licensed as a Kansas resident insurance producer on August 24, 2021, and remains licensed to date.
- 2. Respondent's address of record is , Kansas City, KS
- 3. On August 16, 2021, Respondent submitted an application for a Kansas resident insurance producer license to the Kansas Insurance Department ("Department"). As required to process the license application, a combined application fee of \$30.00 and fingerprinting fee of \$60.00 ("licensing fees") was submitted to the Department through the KanPay portal.
- 4. Respondent's resident insurance producer's license was issued with the understanding that the license fees were paid.

- 5. After attempts were made to collect the payment, KanPay notified the Kansas Insurance Department ("Department") that Respondent's payment was returned.
- 6. On January 31, 2022, and February 16, 2022, Department staff notified Respondent via email and letter that the licensing fees paid through KanPay were returned unpaid and requested payment be made to the Department. The correspondence included information on how to pay the resident licensing fees.
- 7. Respondent, to this date, has not responded to the Department's inquiries or paid the resident licensing fees.

Applicable Law

K.S.A. 40-4905(a) provides:

It shall be unlawful for any person to sell, solicit or negotiate any insurance within this state unless such person has been issued a license as an insurance agent in accordance with this act.

K.S.A. 40-4905(b)(3) provides:

Any person applying for a resident insurance agent license shall make application on a form prescribed by the commissioner. The applicant shall declare under penalty of perjury that the statements made in the application are true, correct and complete to the best of the applicant's knowledge and belief. Before approving the application, the commissioner shall determine that the applicant has paid a nonrefundable fee in the amount of \$30.

K.S.A. 40-4905(h)(1)-(3) provides:

The commissioner may require a person applying for a resident insurance agent license to be fingerprinted and submit to a state and national criminal history record check. The fingerprints shall be used to identify the applicant and to determine whether the applicant has a record of criminal arrests and convictions in this state or other jurisdictions. The commissioner is authorized to submit the fingerprints to the Kansas bureau of investigation and the federal bureau of investigation for a state and national criminal history record check. Local and state law enforcement officers and agencies shall assist the commissioner in the taking and processing of fingerprints of applicants and shall release all records of an applicant's arrests and convictions to the commissioner. The commissioner may conduct, or have a third party conduct, a background check on a person applying for a resident insurance agent license. Whenever the commissioner requires fingerprinting, a background check, or both, any associated costs shall be paid by the applicant.

K.S.A. 40-4909(a)(2)(A) provides:

The Commissioner may deny, suspend, revoke or refuse renewal of an insurance producer's license upon finding that Respondent has violated any provision of chapter 40 of the Kansas Statutes Annotated, and amendments thereto, or any rule and regulation promulgated thereunder.

K.S.A. 40-4909(a)(8) provides:

The Commissioner may deny, suspend, revoke or refuse renewal of an insurance producer's license upon finding that Respondent has used any fraudulent, coercive, or dishonest practice, or demonstrated any incompetence, untrustworthiness or financial irresponsibility in the conduct of business in this state or elsewhere.

K.S.A. 40-4909(b) provides:

The Commissioner may deny, suspend, revoke or refuse renewal of any license issued under this act if the Commissioner finds that the interests of the insurer or the insurable interests of the public are not properly served under such license.

Policy Reasons

- 1. It is in the public interest that the license of an insurance producer who has not paid the required Kansas nonresident license fees for the license be revoked.
- 2. It is in the public interest that the license of an insurance producer who has demonstrated untrustworthiness or financial irresponsibility in the conduct of business be revoked.

Conclusions of Law

- 1. The Commissioner has jurisdiction over **VINCENT ALONZO** as well as the subject matter of this proceeding, and such proceeding is held in the public interest.
- 2. Pursuant to K.S.A. 40-4909(a)(2)(A), the Commissioner finds that Respondent's Kansas resident insurance producer's license may be revoked because **VINCENT ALONZO** has violated provisions of chapter 40 of the Kansas Statutes Annotated. The Commissioner finds that **VINCENT ALONZA** has failed to pay the licensing fees. *See K.S.A. 40-4905*.

- 3. Pursuant to K.S.A. 40-4909(a)(8), the Commissioner finds that Respondent's Kansas resident insurance producer's license may be revoked because VINCENT ALONZO has used any fraudulent, coercive, or dishonest practice, or demonstrated any incompetence, untrustworthiness or financial irresponsibility in the conduct of business in this state or elsewhere in that he failed to pay his required licensing fees.
- 4. Pursuant to K.S.A. 40-4909(b), the Commissioner finds that the insurable interests of the public are not properly served under the Kansas resident insurance producer's license of **VINCENT ALONZO**.
- Pursuant to K.S.A. 40-4909(a) and (b), the Commissioner concludes that sufficient grounds exist for revocation of the Kansas resident insurance producer's license of VINCENT ALONZO.

IT IS THEREFORE ORDERED BY THE COMMISSIONER OF INSURANCE THAT:

- The Kansas resident insurance producer's license of VINCENT ALONZO is hereby REVOKED the effective date of this Order.
- 2. IT IS FURTHER ORDERD that VINCENT ALONZO shall CEASE and DESIST from the sale, solicitation or negotiation of insurance in Kansas and/or receiving compensation deriving from the sale, solicitation or negotiation of insurance in Kansas conducted after the effective date of this Order.

OF TOPEKA, COUNTY OF SHAWNEE, STATE OF KANSAS.



VICKI SCHMIDT COMMISSIONER OF INSURANCE

BY:

Justin L. McFarland General Counsel

NOTICE AND OPPORTUNITY FOR HEARING

VNCENT ALONZO, within fifteen (15) days of service (plus 3 days for mailing) of this Summary Order, you may file with the Kansas Insurance Department a written request for hearing on this Summary Order, as provided by K.S.A. 77-542. In the event a hearing is requested, such request should be directed to:

Mindy Forrer Kansas Insurance Department 1300 SW Arrowhead Rd. Topeka, Kansas 66604

Or via email to:

mindy.forrer@ks.gov

Any costs incurred as a result of conducting any administrative hearing shall be assessed against the producer/agency who is the subject of the hearing as provided by K.S.A. 40-4909(f). Costs shall include witness fees, mileage allowances, any costs associated with reproduction of documents which become part of the hearing record, and the expense of making a record of the hearing.

If a hearing is not requested, this Summary Order shall become effective as a Final Order, without further notice, upon the expiration of the fifteen (15) day period (with an additional three (3) days for service) for requesting a hearing. The Final Order will constitute final agency action on the matter.

In the event the Respondent files a petition for judicial review, the agency officer designated pursuant to K.S.A. 77-613(e) to receive service of a petition for judicial review on behalf of the Kansas Insurance Department is:

Justin L. McFarland, General Counsel Kansas Insurance Department 1300 SW Arrowhead Rd. Topeka, Kansas 66604 Pursuant to K.S.A. 77-607 and 77-612, exhaustion of administrative remedies is a jurisdictional prerequisite to seeking judicial review.

CERTIFICATE OF SERVICE

The undersigned hereby certifies that she served the above-and-foregoing Summary Order of Revocation on this 30 day of 1000 day of 2023, by causing the same to be deposited in the United States Mail, certified, addressed to the following:

Vincent Alonzo
304
Kansas City, KS
Respondent
And via email to:

Sarah Cowan Legal Assistant