

**BEFORE THE COMMISSIONER OF INSURANCE  
OF THE STATE OF KANSAS**

**In the Matter of the Kansas Resident )  
Insurance Producer's License of )  
TIA ELLIS )  
NPN # 19380910 )**

**Docket No. 101376**

**SUMMARY ORDER  
REFUSAL TO RENEW AND REVOCATION  
(Pursuant to K.S.A. 40-4909 and K.S.A. 77-501 *et seq.*)**

Pursuant to the authority granted to the Commissioner of Insurance ("Commissioner") by K.S.A. 40-4909 and K.S.A. 77-501 *et seq.*, the Commissioner hereby **REVOKES** and **REFUSES RENEWAL OF** the Kansas resident insurance producer's license of **TIA ELLIS** ("Respondent"). This Summary Order shall become effective as a Final Order, without further notice, upon the expiration of the fifteen (15) day period if no request for a hearing is made.

**Findings of Fact**

1. Respondent was licensed as a Kansas resident insurance producer on March 11, 2020, and her license expired March 31, 2023.
2. Respondent's address of record listed on his renewal application is [REDACTED] [REDACTED]  
[REDACTED], Shawnee, KS [REDACTED]
3. On June 28, 2023, Respondent submitted a Kansas resident insurance producer license renewal application ("Application") to the Kansas Insurance Department ("Department").
4. Respondent answered "Yes" to Question 1a of the Background Questions on the Application which asks, "Have you been convicted of a misdemeanor, had a judgment withheld or deferred, or are you currently charged with committing a misdemeanor, which has not been previously reported to this insurance department?"

5. Upon the Department's review of the documentation submitted with the renewal application, it was determined that Respondent was convicted of three counts of misdemeanor domestic battery on or about June 30, 2022, in Johnson County District Court, in Case Number 22DV82.

6. The conviction was not reported to the Department until the renewal application was submitted on June 28, 2023, which was outside of the required thirty-day timeframe for reporting convictions to the Department.

7. The failure to report convictions and the nature of the convictions and conduct underlying those indicate that the insurable interests of the public are no longer served by the Respondent's license.

#### **Applicable Law**

K.S.A. 40-4909(a)(2)(A) provides:

The Commissioner may deny, suspend, revoke or refuse renewal of any license issued under this act if the commissioner finds that the applicant or license holder has violated any provision of chapter 40 of the Kansas Statutes Annotated, and amendments thereto, or any rules and regulations promulgated thereunder.

K.S.A. 40-4905(f)(1)(D) provides:

Each person or entity licensed in this state as an insurance agent shall report the following to the commissioner within 30 calendar days of occurrence: all details of any conviction of a misdemeanor or felony other than minor traffic violations. The details shall include the name of the arresting agency, the location and date of the arrest, the nature of the charge or charges, the court in which the case was tried and the disposition rendered by the court.

K.S.A. 40-4909(a)(6) provides:

The Commissioner may deny, suspend, revoke or refuse renewal of an insurance producer's license upon finding that a license holder has been convicted of a misdemeanor or felony.

K.S.A. 40-4909(b) provides:

The Commissioner may deny, suspend, revoke or refuse renewal of any license issued under this act if the Commissioner finds that the interests of the insurer or the insurable interests of the public are not properly served under such license.

**Policy Reasons**

1. It is in the public interest that the license of a producer who has been convicted of a misdemeanor of this type and circumstances be refused renewal and revoked.
2. It is in the public interest that the license of a producer who has failed to timely report misdemeanor convictions to the Department be refused renewal and revoked.
3. The insurable interests of the public are not properly served under such license and the license of the producer be refused renewal and revoked.

**Conclusions of Law**

1. The Commissioner has jurisdiction over **TIA ELLIS** as well as the subject matter of this proceeding, and such proceeding is held in the public interest.
2. Pursuant to K.S.A. 40-4909(b), the Commissioner finds that the insurable interests of the public are no longer properly served under the Kansas resident insurance producer's license of **TIA ELLIS**.
3. Pursuant to K.S.A. 40-4909(a) and (b), the Commissioner concludes that sufficient grounds exist for revocation of the Kansas resident insurance producer's license of **TIA ELLIS**.
4. Pursuant to K.S.A. 40-4909(a) and (b), the Commissioner concludes that sufficient grounds exist for refusing to renew the Kansas resident insurance producer's license of **TIA ELLIS**.

**IT IS THEREFORE ORDERED BY THE COMMISSIONER OF INSURANCE THAT:**

1. The Kansas resident insurance producer's license of **TIA ELLIS** is hereby **REFUSED RENEWAL** the effective date of this Order.

2. The Kansas resident insurance producer's license of **TIA ELLIS** is hereby **REVOKED** the effective date of this Order.

3. **IT IS FURTHER ORDERED** that **TIA ELLIS** shall **CEASE** and **DESIST** from the sale, solicitation or negotiation of insurance in Kansas and/or receiving compensation deriving from the sale, solicitation or negotiation of insurance in Kansas conducted after the effective date of this Order.

IT IS SO ORDERED THIS 26<sup>th</sup> DAY OF September 2023, IN THE CITY OF TOPEKA, COUNTY OF SHAWNEE, STATE OF KANSAS.



VICKI SCHMIDT  
COMMISSIONER OF INSURANCE

BY:

  
Justin L. McFarland  
General Counsel

## **NOTICE AND OPPORTUNITY FOR HEARING**

**TIA ELLIS**, within fifteen (15) days of service (plus 3 additional days for mailing) of this Summary Order, you may file with the Kansas Insurance Department a written request for hearing on this Summary Order, as provided by K.S.A. 77-542. In the event a hearing is requested, such request should be directed to:

Mindy Forrer  
Kansas Insurance Department  
1300 SW Arrowhead Rd.  
Topeka, Kansas 66604

Or via email to: [mindy.forrer@ks.gov](mailto:mindy.forrer@ks.gov)

Any costs incurred as a result of conducting any administrative hearing shall be assessed against the producer/agency who is the subject of the hearing as provided by K.S.A. 40-4909(f). Costs shall include witness fees, mileage allowances, any costs associated with reproduction of documents which become part of the hearing record, and the expense of making a record of the hearing.

If a hearing is not requested, this Summary Order shall become effective as a Final Order, without further notice, upon the expiration of the fifteen (15) day period (plus 3 additional days for mailing) for requesting a hearing. The Final Order will constitute final agency action on the matter.

In the event the Respondent files a petition for judicial review with the District Court, the agency officer designated pursuant to K.S.A. 77-613(e) to receive service of a petition for judicial review on behalf of the Kansas Insurance Department is:

Justin L. McFarland, General Counsel  
Kansas Insurance Department  
1300 SW Arrowhead Rd.  
Topeka, Kansas 66604

Pursuant to K.S.A. 77-607 and 77-612, exhaustion of administrative remedies is a jurisdictional prerequisite to seeking judicial review.

**CERTIFICATE OF SERVICE**

The undersigned hereby certifies that she served the above-and-foregoing Summary Order of Revocation on this 24<sup>th</sup> day of September 2023, by causing the same to be deposited in the United States Mail, certified, addressed to the following:

Tia Ellis

[REDACTED]

Shawnee, KS [REDACTED]

*Respondent*

And via email to:

[REDACTED]

  
\_\_\_\_\_  
Sarah Cowan  
Legal Assistant