

**BEFORE THE COMMISSIONER OF INSURANCE  
OF THE STATE OF KANSAS**

<b>In the Matter of the Redomestication</b>	)	
<b>of</b>	)	
<b>US ALLIANCE LIFE AND SECURITY</b>	)	<b>Docket No. 103607</b>
<b>COMPANY</b>	)	
<b>NAIC No. 14165</b>	)	

**ORDER**

Now on this 20th day of November, 2023, the above matter comes before the Commissioner of Insurance of the State of Kansas (the "Commissioner") upon the request of US Alliance Life and Security Company ("US Alliance") a Kansas domestic insurer, to transfer its state of domicile from Kansas to North Dakota.

**DECLARATION OF PUBLIC INTEREST AND POLICY**

The Commissioner has the general supervision, control, and regulation of corporations, companies, associates, societies, exchanges, partnerships, or persons authorized to transact the business of insurance, indemnity, or suretyship in this state. The following statements of fact and conclusions of law are made with the intent to promote the policies and objectives of state-based regulation of insurance.

**FINDINGS OF FACT**

1. US Alliance Life and Security Company was incorporated in the state of Kansas in 2011 and received a certificate of authority to transact business in the State of Kansas effective January 2, 2012.
2. On July 12, 2023 the Commissioner received notification from US Alliance Life and Security Company of its intent to redomesticate to North Dakota.
3. The redomestication was approved by the North Dakota Insurance Department effective

September 18, 2023.

4. US Alliance submitted all required documents to the Kansas Insurance Department, including, but not limited to, UCAA Corporate Amendment Applications.
5. The Commissioner's staff reviewed the redomestication request, necessary documentation, and the financial condition of US Alliance. There are no outstanding financial concerns noted.

#### **APPLICABLE LAW**

6. K.S.A. 40-103 provides:

The commissioner of insurance shall have general supervision, control and regulation of corporations, companies, associations, societies, exchanges, partnerships, or persons authorized to transact the business of insurance, indemnity or suretyship in this state and shall have the power to make all reasonable rules and regulations necessary to enforce the laws of this state relating thereto.

7. K.S.A. 40-2,162 provides, in pertinent part:

(c) A domestic insurer, upon the approval of the commissioner of insurance, may transfer the insurer's domicile to any other state in which it is admitted to transact the business of insurance, and upon such a transfer shall cease to be a domestic insurer, and shall be admitted to this state if qualified as a foreign insurer. The commissioner of insurance shall approve the proposed transfer unless the commissioner determines the transfer is not in the interest of the policyholders of this state.

#### **CONCLUSIONS OF LAW**

8. Based on the information enumerated in the Findings of Fact contained in paragraphs 1-5 above, and the representations made by US Alliance Life and Security Company and the North Dakota Insurance Department, the Commissioner finds the transfer of domicile state would not cause harm to Kansas policyholders.

**IT IS THEREFORE ORDERED BY THE COMMISSIONER OF INSURANCE THAT:**

1. The redomestication of US Alliance Life & Security Company from Kansas to North Dakota is approved pursuant to K.S.A. 40-2,162.
2. In conjunction with this Order, the Kansas Insurance Department shall issue an amended certificate of authority to be in effect until such certificate is suspended, revoked, or terminated by the Commissioner.
3. The Commissioner retains jurisdiction over the subject matter of this proceeding and over the parties for the purpose of entering such further order or orders as may be deemed necessary or proper.

### NOTICE OF RIGHTS

This Final Order is effective upon service. Pursuant to K.S.A. 77-529, the Company may petition the Commissioner for reconsideration of this Final Order within fifteen (15) days after service of the Final Order. A petition for reconsideration must state the specific grounds upon which relief is requested. Additionally, a party to this agency proceeding may seek judicial review of this Final Order by filing a petition in the District Court, pursuant to K.S.A. 77-601, *et seq.* Reconsideration of this Final Order is not a prerequisite for judicial review. A petition for judicial review is not timely unless filed within thirty (30) days following the service of this Final Order, unless the Company petitions the Commissioner for reconsideration, in which case a petition for judicial review is not timely unless filed within thirty (30) days following service of an order rendered upon reconsideration, or an order denying the request for reconsideration. In the event the Applicant files a petition for judicial review, the agency officer to be served on behalf of the Department is:

Justin L. McFarland  
General Counsel  
Kansas Insurance Department  
1300 SW Arrowhead Road  
Topeka, Kansas 66604

IT IS SO ORDERED THIS 20<sup>th</sup> DAY OF November, 2023 IN THE CITY OF TOPEKA, COUNTY OF SHAWNEE, STATE OF KANSAS.



*Vicki Schmidt*

Vicki Schmidt  
Commissioner of Insurance

Approved by the Kansas Insurance  
Department Legal Division:

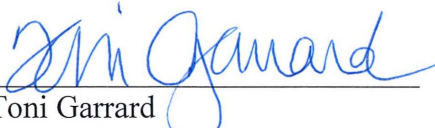
*Justin L. McFarland*

Justin L. McFarland  
General Counsel

**CERTIFICATE OF SERVICE**

The undersigned hereby certifies that above and foregoing Order was served via electronic mail, on this 20th day of November 2023, addressed to the following:

US Alliance Life & Security Company  
c/o Jeff Brown  
jeff.brown@usalliancelife.com

  
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Toni Garrard  
Legal Assistant