

**BEFORE THE COMMISSIONER OF INSURANCE  
OF THE STATE OF KANSAS**

In the Matter of                                 )  
LISA M. GRILZ                                 )

Docket No. 2710-SO

**CONSENT ORDER**

Lisa M. Grilz (“Grilz”) wishes to resolve this matter without formal adjudicative proceedings by entering into this consent order. The Commissioner of Insurance (“Commissioner”) hereby makes the following findings of fact, conclusions of law, and order, to-wit:

**Findings of Fact**

1. Records maintained by the Kansas Insurance Department (“KID”) indicate that Grilz is a resident of the State of Kansas, has a current mailing address of 610 North Labette, Frontenac, Kansas 66763, and has been licensed as a Kansas resident insurance agent since April 22, 1999.

2. The Commissioner has jurisdiction over the subject matter of this proceeding, and this proceeding is held in the public interest.

3. On December 10, 1998, a Summary Order to Cease and Desist was served on Ms. Grilz. It ordered her to cease and desist from doing acts toward transacting the business of insurance in the State of Kansas without having the prerequisite authorization and proper written authority; and, without conforming to the code, representing herself to be an agent of any insurance company, or as agent, to negotiate, effect or attempt to negotiate contracts of insurance within the State of Kansas, when, in fact, Grilz was not a licensed agent.

4. On December 14, 1998, KID received a letter from Grilz's employer, Jon McCormick ("McCormick") indicating that he had already communicated with KID as to what Grilz was allowed to do without being licensed. He attached a letter he had received from the Agents & Brokers Division of KID.

5. In addition, they had already taken steps to get Grilz licensed as a Kansas resident insurance agent so that she would not be violating the law.

### **Conclusions of Law**

6. K.S.A. 40-214 provides, in relevant part:

"It shall be unlawful for any person...to transact the business of insurance, indemnity or suretyship, or do any act toward transacting such business, unless such person...shall have been duly authorized under the laws of this state to transact such business and shall have received proper written authority from the commissioner of insurance in conformity with the provisions of the laws of this state relative to insurance, indemnity and suretyship..."

7. K.S.A. 40-244 provides:

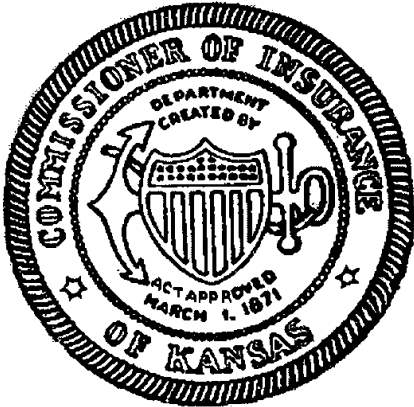
"It shall be unlawful for any person, without conforming to the provisions of this code, to represent himself or herself to be the agent of any insurance company, or, as agent, to negotiate, effect or attempt to effect any contracts of insurance, suretyship or indemnity or renewal thereof within this state.

8. For the purposes of this Consent Order, Lisa M. Grilz waives the right to a formal adjudicative proceeding and notice thereof and voluntarily consents to the following order of the Commissioner of Insurance.

### **IT IS THEREFORE ORDERED BY THE COMMISSIONER OF INSURANCE THAT:**

1. Lisa M. Grilz will no longer engage in the business of insurance without being licensed by the Kansas Insurance Department.

IT IS ORDERED THIS 16th DAY OF DECEMBER 1999 IN THE CITY  
OF TOPEKA, COUNTY OF SHAWNEE, STATE OF KANSAS.



/s/ Kathleen Sebelius  
Kathleen Sebelius  
Commissioner of Insurance

BY:

/s/ Kathy Greenlee  
Kathy Greenlee  
General Counsel

/s/ Lisa M. Grilz  
Lisa M. Grilz

APPROVED AND SUBMITTED BY:

/s/ JaLynn Copp  
JaLynn Copp  
Attorney for Petitioner  
Kansas Insurance Department