



# Kansas Department of Insurance

Commissioner Vicki Schmidt

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To Whom It May Concern:

We understand you are interested in the requirements and procedures for obtaining a Kansas Certificate of Authority as a viatical settlement provider.

The outlined application process and instructions are included in the attached materials. Please submit your application along with all necessary supporting documents in a binder. Please organize the binder and clearly cross-reference all the documents in accordance with the application format. Submission of the application in this format will allow us to complete our review in a more expeditious manner.

Please also include the required \$1,000 non-refundable fee, payable to the Kansas Insurance Department. If your home state insurance regulator requires a higher non-refundable fee in advance of filing an application for a Certificate of Authority, we ask that you submit that fee to us pursuant to K.S.A. 40-253.

Please send the completed application and fee to: Kansas Insurance Department ATTN: Julie Holmes, Director, Rate and Form Compliance Division 1300 SW Arrowhead Road, Topeka, KS 66604.

After the initial submission of material, it will be necessary to reply to all future correspondence within thirty (30) days in order to maintain an active application.

Additionally, you should be aware that viatical investments are securities in the State of Kansas and are regulated under the Kansas Uniform Securities Act. Depending on your business model, you may need to register with the Office of the Securities Commissioner of Kansas.

After you submit your application, the Office of the Securities Commissioner may contact you for additional information. If you have not already done so, we recommend that you obtain legal counsel to ensure your compliance with all applicable laws.

If you have any questions, please do not hesitate to contact me.

Sincerely,

Julie Holmes Director, Rate and Form Compliance Division

785-296-6410

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