



Kansas Department of Insurance
Commissioner Vicki Schmidt

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**Kansas Department of Insurance announces cease and desist order
for Smith General Ventures, LLC**

Topeka, Kan. – Kansas Insurance Commissioner Vicki Schmidt, today, announced that the Securities Division has entered a cease-and-desist order against Nathaniel L. Smith, of Wichita, and Smith General Ventures, LLC for alleged violations of the Kansas Uniform Securities Act (KUSA).

The order prohibits Smith General Ventures, LLC from acting as an investment adviser in Kansas unless properly registered under the KUSA. Smith is prohibited from acting as an investment adviser representative unless registered and from offering or selling securities in Kansas unless those securities are federally covered or are registered or exempt from the KUSA.

The order can be found on the Department’s website at <https://bit.ly/42C1S73>. For more information on securities registration and compliance, visit insurance.kansas.gov/securities.

To report violations of Kansas securities laws, please contact the Securities Division at the Kansas Department of Insurance at 1-800-432-2484 or by email at KDOI.complaints@ks.gov.

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The Securities Division of the Kansas Department of Insurance regulates the offer and sale of securities in Kansas--the birthplace of “Blue Sky Laws.”