

Kansas Administrative Regulations
Agency 40. Insurance Department
Article 13. Insider Stock Trading

40-13-9 Certain transactions subject to K.S.A. 40-264 of the code.

The acquisition or disposition of each transferable option, put, call, spread or straddle shall be a sufficient change in the beneficial ownership of the security to which the privilege relates to require the filing of a statement reflecting the acquisition or disposition of the privilege. This section shall not exempt a person from filing the statement required upon the exercise of an option, put, call, spread or straddle.

(Authorized by K.S.A. 40-103, 40-271; implementing K.S.A. 40-264; effective Jan. 1, 1967; amended May 1, 1986.)